SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

## OMB APPROVAL

3235-OMB Number: 0104

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES** 

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Roos Jason T	Requiring S	Date of Event       3. Issuer Name and Ticker or Trading Symbol         equiring Statement       Portman Ridge Finance Corp         Jo1/2021       Portman Ridge Finance Corp						
<ul> <li>(Last) (First) (Middle)</li> <li>C/O PORTMAN RIDGE FINANCE CORPORATION</li> <li>650 MADISON AVENUE, 23RD FLOOR</li> </ul>			4. Relationship of Reporting Issuer (Check all applicable) Director X Officer (give title below) CFO, Treasurer an	10% Owner Other (specify below)		<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>Form filed by One Reporting</li> </ul>		
(Street) NEW YORK 10022			CrO, measurer an	u secreti	ан у 	A Person	by More than One	
(City) (State) (Zip)	blo I Non	Dorivati	ve Securities Benefic		wood			
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 1)	3. Ownership 4		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Se (Instr. 4)		4. Conversior or Exercise Price of	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
Explanation of Responses:	Date Exercisable	Expiration Date		Amount or Number of Shares	Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)	

**Remarks:** 

No securities are beneficially owned.

/s/ Jason T. Roos

Person

03/05/2021

\*\* Signature of Reporting

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date